



ONTARIO MORTGAGE INVESTMENT COMPANIES ASSOCIATION
326 Adelaide Street West, Suite 302
Toronto, ON, M5V 1R3
Email: membership@onmica.com
Website: www.onmica.com

Confidential Application Form 2019

This form is to provide the Ontario Mortgage Investment Companies Association (ONMICA) with essential information to approve an individual/companies application to ONMICA. As part of the application we are requesting some information in order to:

1. Have a powerful voice with regulators, investors and other stakeholders; and
2. Develop comprehensive statistics on our industry

Membership Criteria:

1. The Member Firm's primary source of income is derived from being or managing a "Mortgage Investment Entity" (e.g. Mortgage Investment Corporation, Mortgage Unit Trust, Mortgage Limited Partnership, etc.), either based in Ontario or with operations in Ontario, whose securities are distributed through an OSC registered entity
2. Conducts business in an ethical and professional manner that reflects positively on the industry
3. Approval by ONMICA's Membership Committee
4. Payment of \$700 membership fee (expires December 31st each year) payable to ONMICA (e-transfer payment available to info@onmica.com)

Please complete the following:

Contact Information

Primary Contact Name		Title
Phone		E-Mail
Secondary Contact Name		Title
Phone		E-Mail
LEAVE BLANK IF SAME AS HEAD OFFICE		
Address		
City	Province	Postal Code



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Company Information

Form with fields: Firm Name, Name(s) of investment(s) offered, Head office address, City, Province, Postal Code, Phone, Fax, Website, Number of employees in Ontario, Number of Years in Ontario

Distribution of Securities (Check all that apply)

Table with 2 columns: Dealer Type (Related Party Exempt Market Dealer, Third Party Exempt Market Dealer, IIROC Dealer) and Status (MFDA Dealer, Publicly Traded, Other (Please Specify))

Registered Name of Third Party EMDs

- 1) _____
2) _____
3) _____

What Topics / Issues Are You Interested In?

- 1) _____
2) _____
3) _____



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Organization Membership	Yes / No
MPC	
CMBA	
PCMA	
Others (Specify)	

Statistical Information

The following information will be used to quantify and substantiate the representation of the ONMICA members and **will not be used to disclose individual company information.**

Assets Under Administration at end 2018	Mortgages (\$)	Mortgages (#)
Total assets under administration (excluding syndicated portion)		
In Ontario (ex-syndicated portion)		
Outside of Ontario (ex-syndicated portion)		

Portfolio Breakdown (%) at end 2018	Ontario Portfolio (%)	Total Portfolio (%)
Residential property		
Commercial property		
Land only		
Construction		
Other (Specify)		



List of Investment Vehicles (e.g. ONMICA MIC I, ONMICA MIC II, ONMICA Senior MIC, etc.)

1) _____

2) _____

3) _____

Annual Returns (%)	2011	2012	2013	2014	2015	2016	2017	2018
Vehicle 1								
Vehicle 2								
Vehicle 3								

Total Assets	2011	2012	2013	2014	2015	2016	2017	2018
Vehicle 1								
Vehicle 2								
Vehicle 3								

# of Mortgages	2011	2012	2013	2014	2015	2016	2017	2018
Vehicle 1								
Vehicle 2								
Vehicle 3								

Loan to Value (%)	2011	2012	2013	2014	2015	2016	2017	2018
Vehicle 1								
Vehicle 2								
Vehicle 3								



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Copyright * (Please Check One)

- I grant permission to ONMICA to photograph and/or videotape and/or audiotape me in the course of participating in any and all ONMICA events to use my name and any of these photographs and/or videotapes for ONMICA purposes in any membership media and territory.

- I do not grant permission.

Disclaimer * (Please Check All)

- I understand and agree that the contact information I have provided may be displayed in the Member's Only section of the ONMICA website and in ONMICA's annual membership directory.

- I will agree to read, understand and abide by ONMICA's Standards of Professional Conduct which include business practices and professional standards of practice, including the Code of Ethics and the policies of the Association. These documents will become available on ONMICA's website at: www.onmica.com

- I understand and agree that if I breach the approved Standards of Professional Conduct, the company can have its membership revoked.

- I acknowledge that by submitting this form, I am giving ONMICA consent to use the information supplied for membership and the purposes specified.

- I hereby authorize ONMICA to make all inquiries necessary to verify the accuracy of statements made herein.

- I understand that the ONMICA Membership Committee reserves to right to deny membership or renewal and should my application be denied, I will receive a refund of my application fee.

- I acknowledge that the information ONMICA provides does not constitute legal, accounting, or other professional advice and no reliance shall be made on the opinions offered and I will seek my own independent legal, accounting, or other professional advice.



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Acknowledgement by the Applicant

Name of Company / MIE Joining ONMICA

I am or manage a mortgage investment company in the province of Ontario, who is requesting formal application for membership to the Ontario Mortgage Investment Companies Association and confirm the following:

- a. The above information provided is accurate and correct;
- b. There is no disciplinary action that is currently being taken by any of the regulators in any jurisdiction for any related companies or individuals of the firm.
- c. The applicant, officers, and directors are not currently subject to penalties or sanctions by any regulator

Any changes to the above information should be made available to ONMICA within 30 days from the change.

Date

Signature

Name and Position

Please print, fill-in, and scan this application and send to:
membership@onmica.com

Please send this original application along with the \$700 membership fee payable to **ONMICA** and mail to:

ONMICA Membership
326 Adelaide Street West
Suite 302
Toronto, ON
M5V 1R3

OR e-transfer to info@onmica.com

*any rejected applications will have the fee returned