



ONTARIO MORTGAGE INVESTMENT COMPANIES ASSOCIATION
 326 Adelaide Street West, Suite 302
 Toronto, ON, M5V 1R3
 Email: membership@onmica.com
 Website: www.onmica.com

Confidential Application Form 2020

This form is to provide the Ontario Mortgage Investment Companies Association (ONMICA) with essential information to approve an individual/companies application to ONMICA. As part of the application we are requesting some information **confidentially** in order to:

1. Have a powerful voice with regulators, investors and other stakeholders; and
2. Develop comprehensive statistics on our industry

Membership Criteria:

1. The Member Firm’s primary source of income is derived from being or managing a “Mortgage Investment Entity” (e.g. Mortgage Investment Corporation, Mortgage Unit Trust, Mortgage Limited Partnership, etc.), either based in Ontario or with operations in Ontario, whose securities are distributed through an OSC registered entity
2. Conducts business in an ethical and professional manner that reflects positively on the industry
3. Approval by ONMICA’s Membership Committee and Third Party Review
4. Payment of \$900 membership fee (covers the period from March 31, 2020 and expires March 31st 2021) and a one-time non-refundable \$300 third party review fee payable to ONMICA (e-transfer payment available to info@onmica.com)
5. Completion of all of the information on the subsequent pages.

Contact Information

Primary Contact Name	Title
Phone	E-Mail
Secondary Contact Name	Title
Phone	Secondary Contact E-mail

Company Information

Primary MIC/MIE Name	Management Company Name:	
Name(s) of all Mortgage Investment Product(s) offered:		
Head office address		
City	Province	Postal Code
Phone	Fax	Website
Number of employees in Ontario	Number of Years in Ontario	



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Related Party EMD Information

Name of Registered EMD	# of Years as EMD
Primary Contact Name	Title
Phone	E-Mail

Other Registered Dealers / Third Party EMDs

Name of Registered Dealer	Category (e.g. EMD, IIROC, etc.)
Primary Contact Name	Title
Phone	E-Mail

Name of Registered Dealer	Category (e.g. EMD, IIROC, etc.)
Primary Contact Name	Title
Phone	E-Mail

Other

Organization Membership	Yes / No	Mortgage Software (Mark With an X)	
Mortgage Professionals Canada (MPC)		Dolphin MIC Manager	
Canadian Mortgage Brokers Association (CMBA)		The Mortgage Office	
Private Capital Markets Association of Canada (PCMA)		Other (Specify)	
Other (Specify)			

What Topics / Issues Are You Interested In?

1)
2)
3)



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Statistical Information

The following information will be used to quantify and substantiate the representation of the ONMICA members and **will not be used to disclose individual company information.**

Assets Under Administration at end 2019	Mortgages (\$)	Mortgages (#)
Total assets under administration		
In Ontario		
Outside of Ontario		
Portfolio Breakdown (%) at end 2019	Ontario Portfolio (%)	Total Portfolio (%)
Single Family Residential		
Multi (4+ Unit) Residential		
Other Commercial property		
Residential Construction		
Non-Residential Construction		
Land		
Other (Specify)		

List of Investment Vehicles (e.g. ONMICA MIC I, ONMICA MIC II, ONMICA Senior MIC, etc.)

Vehicle 1)	Inception Date:
Vehicle 2)	Inception Date:
Vehicle 3)	Inception Date:



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Annual Portfolio Information

% Annual Return	2011	2012	2013	2014	2015	2016	2017	2018	2019
Vehicle 1									
Vehicle 2									
Vehicle 3									

Total Assets (\$Million)	2011	2012	2013	2014	2015	2016	2017	2018	2019
Vehicle 1									
Vehicle 2									
Vehicle 3									

# of Mortgages	2011	2012	2013	2014	2015	2016	2017	2018	2019
Vehicle 1									
Vehicle 2									
Vehicle 3									

Loan to Value (%)	2011	2012	2013	2014	2015	2016	2017	2018	2019
Vehicle 1									
Vehicle 2									
Vehicle 3									

For % Share First Mortgages - that is the percentage of your total portfolio concentrated in first position mortgages

% Share First Mortgages	2011	2012	2013	2014	2015	2016	2017	2018	2019
Vehicle 1									
Vehicle 2									
Vehicle 3									



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Copyright * (Please Check One)

- I grant permission to ONMICA to photograph and/or videotape and/or audiotape me in the course of participating in any and all ONMICA events to use my name and any of these photographs and/or videotapes for ONMICA purposes in any membership media and territory.

- I do not grant permission.

Disclaimer * (Please Check All)

- I understand and agree that the contact information I have provided may be displayed in the Member's Only section of the ONMICA website and in ONMICA's annual membership directory.

- I will agree to read, understand and abide by ONMICA's Standards of Professional Conduct which include business practices and professional standards of practice, including the Code of Ethics and the policies of the Association. These documents will become available on ONMICA's website at: www.onmica.com. I understand and agree that if I breach the approved Standards of Professional Conduct, the company can have its membership revoked.

- I acknowledge that by submitting this form, I am giving ONMICA consent to use the information supplied for membership and the purposes specified.

- I hereby authorize ONMICA to make all inquiries necessary to verify the accuracy of statements made herein.

- I acknowledge that information ONMICA provides does not constitute legal, accounting, or other professional advice and no reliance shall be made on the opinions offered and I will seek my own independent legal, accounting, or other professional advice.

- I understand that the ONMICA Membership Committee reserves to right to deny membership or renewal and should my application be denied, I will receive a refund of my application fee.

- New applications are subject to a non-refundable third party review fee.



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Acknowledgement by the Applicant

Name of Company / MIE Joining ONMICA

am or manage a mortgage investment company in the province of Ontario, who is requesting formal application for membership to the Ontario Mortgage Investment Companies Association and confirm the following:

- a. The above information provided is accurate and correct;
- b. There is no disciplinary action that is currently being taken by any of the regulators in any jurisdiction for any related companies or individuals of the firm.
- c. The applicant, officers, and directors are not currently subject to penalties or sanctions by any regulator

Any changes to the above information should be made available to ONMICA within 30 days from the change.

Date

Signature

Name and Position

Please print, fill-in, and scan this application and send to:
membership@onmica.com
info@onmica.com

Please send this original application along with the \$900 membership fee (covering the period March 31, 2020 to March 31, 2021), and \$300 **non-refundable** third party review fee payable to **ONMICA** and mail to **(OR e-transfer to info@onmica.com)**:

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*any rejected applications will have the membership fee returned, less the third party review fee